



506.41066VX1

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: C. SAITOH, et al.  
Serial No.: 10/821,850  
Filed: April 12, 2004  
Title: PROCESS FOR PRODUCING HYDROXYLATED FATTY ACIDS AND DELTA-LACTONES  
Group Art Unit: 1734  
Examiner: Sandra E. Saucier  
Confirm. No.: 1734

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: AMENDMENT – NO FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

September 21, 2005

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an European Search Report, and a copy of a document newly cited therein, in connection with a counterpart European patent application. Also enclosed is a Form PTO/SB/08A and B, listing the enclosed documents. All of the documents listed in the enclosed European Search Report, other than the enclosed documents, have previously been provided of a Form PTO/SB/08A and B in the above-identified application, submitted with the Information Disclosure Statement filed April 12, 2004 in the above-identified application.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

In any event, the following Statement is made under 37 CFR 1.97(e):


Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

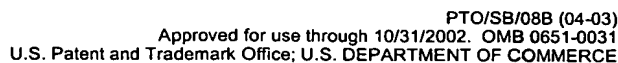
Applicants request any shortage of fees due in connection with the filing of this paper be charged to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 396.44778X00), and please credit any excess fees paid to such Deposit Account.

Respectfully submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

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Substitute for form 1449/PTO

**September 21, 2005**

*(use as many sheets as necessary)*

**Complete if Known**

Application Number	10/821,850
Filing Date	04/12/2004
First Named Inventor	C. SAITOH
Group Art Unit	1651
Examiner Name	Sandra E. Saucier
Attorney Docket Number	506.41066VX1

Sheet	1	of	1
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## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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